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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/707,206	11/26/2003	Michael A. Gaynes	FR920030002US1	1205
24241 7590 04/15/2008 IBM MICROELECTRONICS INTELLECTUAL PROPERTY LAW 1000 RIVER STREET 972 E ESSEX JUNCTION, VT 05452				
EXAMINER				
IM, JUNGHWAN M				
ART UNIT		PAPER NUMBER		
2811				
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/707,206

**Applicant(s)**

GAYNES ET AL.

**Examiner**

JUNGHWAN M. IM

**Art Unit**

2811

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 24 January 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-6, 10, 22, 23 and 25-33 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6, 10, 22, 23 and 25-33 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB-08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1 and 27 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 1 and 27 recite the limitation "... wherein said conductive block (spring) provides for said semiconductor package to be stiffener-free." Note that the instant invention shows that the conductive block (spring) supports the lid through being soldered on the chip carrier, thus, functioning as a stiffener. Further, the instant invention discloses that the conductive block is bonded to the chip carrier to avoid any displacement, indicating that it also works as a stiffener. Therefore, it is confusing how the semiconductor package with the conductive block could be without a stiffener.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-6, 22, 23, 25 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Baba (US Pat. 6,313,521) in view of Hoffman (US Pat. 6,630,661).

Regarding claim 1, insofar as understood, Fig. 5B of Baba shows a semiconductor package comprising:

a chip carrier [1] including a grounded pad on a first side of said chip carrier (col. 8, lines 17-35);

a semiconductor chip [2] coupled to said first side of said chip carrier;

a conductive lid [13; col. 7, lines 29-31] thermally coupled to said semiconductor chip wherein the entire length of said conductive lid is substantially parallel with said first side of said chip carrier; and

a conductive block [10; col. 7, lines 18-22] electrically coupled to said grounded pad and to said conductive lid (col. 8, lines 17-35), and further wherein said conductive block provides for the semiconductor package to be stiffener-free.

Fig. 5B of Baba shows most aspects of the instant invention except a conductive block having about the same dimensions as a SMT discrete chip component. Fig. 7 of Hoffman shows a discrete chip [154] component having about the same dimensions as the conductive structure [156]. It would have been obvious to one of ordinary skill in the art at the time of the invention was made to incorporate the teachings of Hoffman into the device of Baba in order to have a discrete conductive structure having about the same dimensions as a discrete chip component for compact package.

Note that "a surface mount technology (SMT)" is a process designation, and would thus not carry patentable weight in this claim drawn to a product. See *In re Thorp*, 227 USPQ 964 (Fed. Cir. 1985).

Regarding claim 2, Fig. 5B of Baba shows that a solder (11; silver paste; col. 7, lines 18-22 ) connects said conductive structure and said grounded pad.

Regarding claim 3, Fig. 5B of Baba shows that said conductive structure electrically coupled to said grounded pad with an electrically conductive adhesive material (silver paste; col. 7, lines 18-22 and col. 8, lines 17-35).

Regarding claim 4, Fig. 5B of Baba shows that conductive structure is electrically coupled to said conductive lid with an electrically conductive adhesive material (14; silver paste; col. 8, lines 17-35).

Regarding claim 5, Fig. 5B of Baba shows that said conductive structure is coupled to said chip carrier using an electrically insulative adhesive material (insulating epoxy resin; col. 8, lines 29-35).

Regarding claim 6, Fig. 5B of Baba shows that said conductive structure is coupled to said chip carrier using a thermally conductive adhesive material (11; silver paste; col. 7, lines 18-22).

Regarding claim 22, Fig. 5B of Baba shows an end of said conductive lid extends beyond at least one side of said semiconductor.

Regarding claim 23, Fig. 5B of Baba shows the conductive structure is located on the first side of the chip carrier.

Regarding claim 25, Fig. 5B of Baba shows that said conductive structure occupies a substantial amount of a gap between a lower surface of said conductive lid and an upper surface of said chip carrier.

Regarding claim 26, the combination of Baba/Hoffman fails to show "said conductive structure occupies about 90% of said gap." However, it would have been obvious to one of ordinary skill in the art at the time of the invention to have the conductive structure occupying about 90% of said gap in order to reduce the package size since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. *In re Boesch*, 617 F.2d 272, 205 USPQ 215 (CCPA 1980).

Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Baba in view of Hoffman as applied to claim 1 above, and further in view of Jimarez et al. (US Pat. 6,407,334), hereinafter Jimarez.

The combination of Baba/Hoffman shows most aspects of the instant invention except "a solder couples said conductive structure to said grounded pad, an electrically conductive adhesive material couples said conductive structure to said conductive lid; and an electrically insulative adhesive material couples said conductive structure to the chip carrier. Fig. 10 of Jimarez shows that a solder couples said conductive structure [40] to said grounded pad (col. 2, lines 40-43), an electrically conductive adhesive material [42] couples said conductive structure to said conductive lid; and an electrically insulative adhesive material couples [18; col. 2, lines 14-16] said conductive structure to

the chip carrier. It would have been obvious to one of ordinary skill in the art at the time of the invention was made to incorporate the teachings of Jimarez into the device of Baba/Hoffman in order to have a solder coupled the conductive structure to the grounded pad, an electrically conductive adhesive material coupled said conductive structure to said conductive lid, and an electrically insulative adhesive material coupled said conductive structure to the chip carrier to provide a secure package.

Claims 27 and 31-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Baba in view of Hoffman and Glenn et al. (US 6,562,655), hereinafter Glenn.

Regarding claim 27, insofar as understood, Fig. 5B of Baba shows a semiconductor package comprising:

a chip carrier [1] including a grounded pad on a first side of said chip carrier (Abstract);

a semiconductor chip [2] coupled to said first side of said chip carrier;

a conductive lid [13] thermally coupled to said semiconductor chip wherein the entire length of said conductive lid is substantially parallel with said first side of said chip carrier; and

a conductive element [10; col. 7, lines 18-22] electrically coupled to said grounded pad and to said conductive lid (col. 8, lines 17-35), and further wherein said conductive block provides for the semiconductor package to be stiffener-free.

Fig. 5B of Baba shows most aspects of the instant invention except a discrete conductive element/spring having about the same dimensions as a discrete chip

component. Fig. 7 of Hoffman shows a discrete chip [154] component having about the same dimensions as the conductive block [156]. It would have been obvious to one of ordinary skill in the art at the time of the invention was made to incorporate the teachings of Hoffman into the device of Baba in order to have a discrete conductive element having about the same dimensions as a discrete chip component for compact package.

The combination of Baba/Hoffman shows substantially the entire claimed structure except "a conductive spring." Fig. 6 of Glenn shows a semiconductor with a conductive structure comprising a spring [150]. It would have been obvious to one of ordinary skill in the art at the time of the invention was made to incorporate the teachings of Glenn into the device of Baba/Hoffman in order to have a conductive structure comprising a spring to secure the conductive lid.

Note that "a surface mount technology (SMT)" is a process designation, and would thus not carry patentable weight in this claim drawn to a product. See *In re Thorp*, 227 USPQ 964 (Fed. Cir. 1985).

Regarding claim 31, the combination of Baba/Hoffman/Glenn would show the conductive spring is coupled to said chip carrier using a thermally conductive adhesive material (solder).

Regarding claim 32, the combination of Baba/Hoffman/Glenn would show that said conductive spring has about the same dimensions as a surface mount technology (SMT) discrete component since Fig. 7 of Hoffman shows a discrete chip



[154] component having about the same dimensions as the conductive structure [156].

Regarding claim 33, the combination of Baba/Hoffman/Glenn would show the conductive element/spring occupies a substantial amount of a gap between a lower surface of said conductive lid and an upper surface of said chip carrier.

Claims 28-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Baba in view of Hoffman and Glenn as applied to claim 27 above, and further in view of Jimarez et al. (US Pat. 6,407,334), hereinafter Jimarez.

Regarding claims 28-30, the combination of Baba/Hoffman/Glenn shows most aspects of the instant invention except that said conductive spring is electrically coupled to the grounded pad, the conductive lid with an electrically conductive adhesive material and coupled to the chip carrier using an electrically insulative material. Fig. 10 of Jimarez shows that a solder couples said conductive structure [40] to said grounded pad (col. 2, lines 40-43), an electrically conductive adhesive material [42] couples said conductive structure to said conductive lid; and an electrically insulative adhesive material couples [18; col. 2, lines 14-16] said conductive structure to the chip carrier. It would have been obvious to one of ordinary skill in the art at the time of the invention was made to incorporate the teachings of Jimarez into the device of Baba/Hoffman/Glenn in order to have a solder coupled the conductive structure to the grounded pad, an electrically conductive adhesive material coupled said conductive

structure to said conductive lid, and an electrically insulative adhesive material coupled said conductive structure to the chip carrier to provide a secure package.

### ***Response to Arguments***

Applicant's arguments filed 1/24/2008 have been fully considered but they are not persuasive. The rejection stands, modified only to accommodate the amendments made to the claims by Applicant. New rejections are made in response to Applicant amended claims. In addition, the examiner presents the remarks below in response to Applicant's arguments.

Applicants argue that "Applicants have amended independent claims 1 and 27 to include the limitation of "... said semiconductor package to be stiffener-free" (emphasis added). Jimarez fails to disclose, teach or suggest Applicants' claims 1 and 27, as amended. Jimarez discloses a semiconductor package which comprises a "stiffener member 26". Jimarez is silent on a semiconductor package which does not include a stiffener. Hoffman and/or Glenn do not remedy the deficiencies in Jimarez." Note that the conductive block/spring also works as a stiffener as discussed above in the office action. Furthermore, the conductive block (stiffener) on the Baba's device show substantially the identical configuration to the ones shown in the instant invention.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JUNGHWA M. IM whose telephone number is (571)272-1655. The examiner can normally be reached on MON.-FRI. 7:30AM-4:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynne A. Gurley can be reached on (571) 272-1670. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2811

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Lynne A. Gurley/  
Supervisory Patent Examiner, Art Unit 2811

/jmi/  
4/12/2008